IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Kagan et al. |
|--|---|
| Serial No.: | Not yet assigned |
| For: | PATTERNING SOLUTION DEPOSITED THIN FILMS WITH SELF-ASSEMBLED MONOLAYERS |
| Filed: | Concurrently herewith |
| Examiner: | Not yet assigned |
| Art Unit: | Not yet assigned |
| Confirmation No.: | Not yet assigned |
| Customer No.: | 27623 |
| | Attorney Docket No.: YOR920000186US2 |
| Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |
| <u>INFO</u> | RMATION DISCLOSURE STATEMENT |
| Dear Sir: | |
| | oplicants' duty of disclosure under 37 C.F.R. §1.56, please find 49 listing information which may be material to the patentability of |
| | rently herewith. This Information Disclosure Statement is being |
| filed: | |
| XXX Within three (3) months o | f the filing date of the national application; |
| Within three (3) months o international application; | f the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an |
| Before the mailing date of | a first Office Action on the merits; |

After the filing date or date of first Office Action, but before the mailing date of a final action under

37 C.F.R. §1.17(p);

37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in

| After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p); |
|--|
| After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p); and |
| After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to or subsequent to the payment of the Issue Fee and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). |
| Filng with RCE Under 37 CFR 1.114. |

The following references were either (1) cited by or (2) submitted to the Patent and Trademark Office in priority application Serial No. <u>09/556,952</u>, filed <u>April 21, 2000</u>. Accordingly, copies of the following references have not been submitted herewith. (37 C.F.R. §1.98(d)).

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,

January 21, 2004

Date

Paul D. Greeley

Reg. No. 31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

(203) 327-4500

| Sheet | _1_ | of | 1 | |
|--------|-----|----|----|--|
| Sileet | | OI | !_ | |

| FORM PTO-1449 | | | Docket Number (Optional) YOR920000186US2 | | Application Number Not yet assigned | | | | |
|-----------------------------------|--------------------|------------|---|------------------------------|-------------------------------------|-------------------|-------------------------|--------|--|
| INFORMATION DISCLOSURE CITATION | | | | Applicant Applicant | | | | | |
| | IN AN APPLICA | TION | | Kagan et al. | | | | | |
| (Use several sheets if necessary) | | | | Filing Date | | Group Art Uni | | | |
| | | | | Concurrently herew | ith | Not yet assigned | | | |
| | T | J | J. S. PATEN | T DOCUMENTS | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | | NAME | CLAS | S SUBCLASS | FILING DAT APPROPRIA | | |
| | 4,916,115 | 4/10/90 | Mantese et a | 1. | 505 | 1 | | | |
| | 4,952,556 | 8/28/90 | Mantese et al | 1. | 505 | 1 | | | |
| · | 5,059,258 | 10-1991 | Wefers et al. | | 148 | 272 | | | |
| | 5,512,131 | 7/30/96 | Kumar et al. | | 156 | 655.1 | | | |
| | 5,620,850 | 4/15/97 | Bamdad et al | i. | 530 | 300 | | | |
| | 5,900,160 | 5/4/99 | Whitesides e | t al. | 216 | 41. | | | |
| | 6,020,047 | 2/1/00 | Everhart | | 428 | 209 | | | |
| | | | | - | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | FC | REIGN PAT | ENT DOCUMENTS | | - | • | | |
| | | 1 | Translatio | | | | | lation | |
| | DOCUMENT NUMBER | DATE | | COUNTRY | CLAS | S SUBCLASS | YES | NO | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | OTHE | R DOCUI | MENTS (includ | ing Author, Title, Date, Per | tinent Page | s, Etc.) | ·• | | |
| | "Organic-Inorgani | c Heterosi | tructure Electo | onluminescent Device | Using a | Lavered Perovsi | kite | | |
| | | | | et al., Appl. Phys Lett | J | • | | 78. | |
| | | | | es on the 0.1- to 1-Mic | | | | | |
| | and Molecular Selj | -Assembly | ", by Abbott e | et al., Science, Vol. 25 | 57, 4 Sept | t. 1992, pp. 1380 | -1382. | | |
| | | | | l Photoresist as its o | | | | ., | |
| | | • . | |), 16 November 199 | - | _ | | • | |
| | | | • | yers by Microcontac | | | mbled | | |
| | | | | , Vol. 10, No. 12, D | | • | | | |
| EXAMINER | | | | DATE CONSIDERED | | | | | |
| | | | | B | | | | | |

.*